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Date: February 9, 2001 Must Be Sent By:
From: Stephen C. Glazier Phone No: (202) 861-3056
Total Pages: 6 (including cover) User No: 13011 C/M No: 81684/0272835
To: USPTO LICENSING AND REVIEW BRANCH Phone No: 703-306-4198
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Comments:

**SUBJECT: FOLLOW UP ON PETITION FOR
RETROACTIVE LICENSE, APPLICATION: 09/725,080**

ATTN: LICENSING AND REVIEW BRANCH

Per our conversation with Mr. Michael Corone of your office this morning,
your branch still has not received the above petition for the following:

Appln. 09/725,080, petition filed 19 Jan. 2001 (Inventor: HIMES et al.)

**ATTACHED ARE THE RECEIPT, PETITION AND ATTACHMENTS AS
FILED, WHICH WE ARE SENDING AS A COURTESY COPY. PLEASE
LET US KNOW IF YOU NEED MORE INFORMATION. YOUR
PROMPT ATTENTION IS APPRECIATED.**

Confidentiality Note:
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RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications of Any Kind)
 Use 2 postcards for all New Applns. (cont/Div/CIP, too)
 Use this sheet when filling CPA

Appln. No: 09/725,080	Atty: Stephen C. Glazier
First Inventor: HIMES	Date: January 19, 2001
LOYALTY LINK METHOD AND APPARATUS FOR INTEGRATING CUSTOMER INFORMATION WITH DEALER MANAGEMENT INFORMATION	Matter No: 0268496-
	Client No: 081684

ENCLOSED:

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed Documents

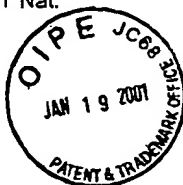
☐ Completion Request for R 53(f)/PCT Nat.

No. of Pages Abstract

No. of Pages Spec and Claims

No. of Numbered Claims Only

No. of Sheets of Drawings (Figs



☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☐ Declaration ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

☐ No. of Priority Documents

☐ IDS Letter ☐ Cited Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

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OTHER:

Petition for Retroactive License and Verified Statement Pursuant to 37 C.F.R. 5.25

Current DUE DATE:

(Submit Single Copy Only)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HIMES

Application No.: 09/725,080

Group:

Filed: November 29, 2000

Examiner:

Title: LOYALTY LINK METHOD AND APPARATUS
FOR INTEGRATING CUSTOMER INFORMATION
WITH DEALER MANAGEMENT INFORMATION

PETITION FOR RETROACTIVE LICENSE

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The Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Petition is hereby made for a retroactive foreign filing license under 37 C.F.R. 5.25.

- 1) Material in the present U.S. Patent Application was filed only in Canada.
- 2) Material of the present application was filed in Canada on December 12, 2000,
and is not yet published.
- 3) The required verified statement (Oath or Declaration) is enclosed.
- 4) The required fee of Rule 1.17 (h) of \$130 is enclosed.

As further demonstration that the foreign filing was in error and without deceptive intent,
note that this Petition itself brings the matter to the Commissioner's attention.

Note that the subject matter of the present application relates to sales of automobiles by
automobile dealers, and hence would not fall under the secrecy provisions for national security
of 35 U.S.C.181. However, out of an abundance of caution, this Petition is filed.

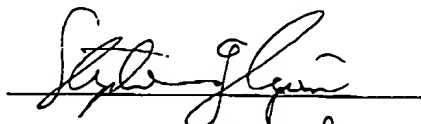
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The Commissioner is hereby authorized to charge any additional fees that may be required herefore, and any missing or insufficient fee filed, or asserted to be filed, which should have been filed herewith or concerning any paper filed hereafter, and which may be required now or hereafter relative to this application and the resulting official document, or credit any overpayment, to our Deposit Account 03-3975, Order No. 81684/268496, for which purpose a duplicate copy of this sheet is attached.

Having satisfied all the requirements for retroactive foreign filing license, such license is hereby respectfully requested.

Respectfully submitted,



STEPHEN C. GLAZIER 18 Jan 2001
Reg. No. 31,351
(202) 861-3056

Date: _____

Pillsbury Winthrop LLP
1100 New York Avenue, N.W.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HIMES

Application No.: 09/725,080

Group:

Filed: November 29, 2000

Examiner:

Title: LOYALTY LINK METHOD AND APPARATUS
FOR INTEGRATING CUSTOMER INFORMATION
WITH DEALER MANAGEMENT INFORMATION

VERIFIED STATEMENT PURSUANT TO 37 C.F.R. 5.25

The Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

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Sir:

This statement is filed in support of the attached Petition for Retroactive License.

The undersigned is the attorney of record for the present application.

The subject matter of the present patent application was not under a secrecy order at the time that it was filed abroad, in Canada only, that is December 12, 2000, and it is not currently under a secrecy order.

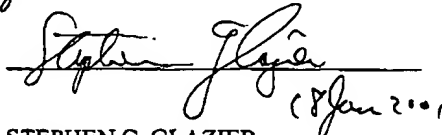
This retroactive foreign filing is diligently sought after discovery that the Canada application was filed before a foreign filing license was received. In January 2001 we reviewed the foreign application file and the domestic application file in a routine check of the status of the matter. At this point, it was first discovered that foreign filing license had not yet been received. The drafting of the attached Petition was initiated and filed shortly thereafter. We also note that the time of filing of this Petition is only about one month from the foreign filing, and well before any foreign publication, and hence is inherently very diligent.

This Canada application was filed in error without deceptive intent. This filing error was due to a clerical error. Routinely, the international filing formalities department of this law firm checks all proposed foreign applications for all formal requirements, including that a foreign filing license has been obtained prior to foreign filing, and delays any foreign applications for which a license has yet to be received, until the license is received. In this case, the proposed Canada filing was received by the undersigned from the international department of this law firm, for transmittal to Canada, with no indication that the foreign filing license had not been received. The undersigned then caused the file to be transmitted to Canada, prior to discovery that the foreign filing license had not been received, believing that this was proper, and this was done without deceptive intent.

The facts above are within my personal knowledge.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on this 18 day of January, 2001.

A handwritten signature in cursive script, reading "Stephen C. Glazier", is written over a horizontal line. To the right of the signature, the date "18 Jan 2001" is handwritten.

STEPHEN C. GLAZIER
Reg. No.: 31,361
(202) 861-3056

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